

RESOLUTION NO. 2014-125

A RESOLUTION

APPROVAL OF METRO'S FY2015 INVESTMENT POLICY AND LIST OF BROKERS AND DEALERS; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, the Texas Public Funds Investment Act, Chapter 2256, Texas Government Code, requires political subdivisions such as METRO to annually review and adopt policies with respect to the investment of its funds; and

WHEREAS, METRO has developed a comprehensive investment policy and strategies designed to provide maximum returns with due consideration to the safety and risk of investments; and

WHEREAS, the Board of Directors has carefully reviewed the investment policy and the investment environment, and has determined that it meets the objectives of good fiscal management, safety of principal, sound diversification and optimization of earnings; and

WHEREAS, it is appropriate that this Board approve the investment policies and strategies for fiscal year 2015 and the list of approved brokers and dealers.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors has reviewed and hereby approves the investment policies and strategies and the list of brokers and dealers.

Section 2. The Board hereby authorizes the President & Chief Executive Officer, the Investment Officers named in the Investment Policy and any other officer of METRO designated by the President & Chief Executive Officer (each an "Authorized Representative") to execute and deliver, or to cause to be executed and delivered, any

documents, instruments and certificates, as any such Authorized Representative, in such person's discretion, as may deem necessary, advisable or appropriate to effectuate or carry out the obligation or responsibilities of METRO under the Investment Policy for the purpose and intent of this Resolution, and the taking of any such action shall conclusively evidence the appropriateness or necessity of any such documents, instruments and certificates.

Section 3. The Board hereby authorizes the Authorized Representatives to take all other action and do all other things, as may be necessary, desirable or appropriate to carry out the obligations or responsibilities of METRO under the Investment Policy for the purpose and intent of this Resolution.

Section 4. The Board hereby approves the list of authorized brokers and dealers authorized to transact business on behalf of METRO.

Section 5. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER AN EXTENSION OF THE INTERLOCAL AGREEMENT WITH HARRIS COUNTY IMPROVEMENT DISTRICT NO. 1 (UPTOWN) FOR THE MAINTENANCE, CLEANING, AND REPAIR OF METRO BUS SHELTERS LOCATED IN THE UPTOWN AREA OF HOUSTON; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO has an Interlocal Agreement with Harris County Improvement District No. 1 for the maintenance, cleaning and repair of 37 bus shelters located in the Uptown business area of Houston; and

WHEREAS, Uptown and METRO would like to extend the Interlocal Agreement for one year; and


WHEREAS, METRO staff recommends a one year extension of the Interlocal Agreement with Harris County Improvement District No. 1 for the maintenance, cleaning and repair of 37 bus shelters in the Uptown business area for an amount not-to-exceed of \$33,263.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a one year extension of the Interlocal Agreement with Harris County Improvement District No. 1 for the maintenance, cleaning, and repair of 37 METRO bus shelters in the Uptown business area of Houston for an amount not-to-exceed \$33,263.

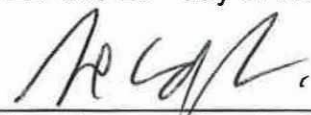
Section 2. This Resolution is effective immediately upon passage.

ATTEST:

  
Assistant Secretary



PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

  
\_\_\_\_\_  
Gilbert Andrew Garcia, CFA  
Chairman



A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER A CONTRACT WITH HARDY & HARDY, INC. TO PROVIDE CLEANING SERVICES FOR BUS STOPS AND BUS SHELTERS IN THE MID-TOWN, SOUTHWEST AND SOUTHEAST AREAS OF HOUSTON; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is in need of cleaning services for its bus stops and bus shelters; and

WHEREAS, an invitation for bids was issued for cleaning services; and

WHEREAS, Hardy & Hardy, Inc. was the lowest responsive and responsible bidder for these services; and

WHEREAS, METRO staff recommends a contract with Hardy & Hardy, Inc. for cleaning services for its bus stops and bus shelters for an amount not-to-exceed \$1,387,402.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a contract with Hardy & Hardy, Inc. to provide cleaning services for bus stops and bus shelters for an amount not-to-exceed \$1,387,402.

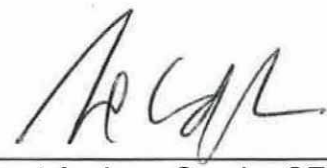
Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER A CONTRACT WITH JERDON ENTERPRISE, L.P. FOR THE CONSTRUCTION OF BOUNDARY AT EVERETT INTERSECTION IMPROVEMENTS; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is in need of construction services for intersection improvements on Boundary and Everett Streets; and

WHEREAS, METRO issued an invitation for bid for these construction services; and

WHEREAS, Jerdon Enterprise, L.P. was found to be the lowest responsive and responsible bidder; and

WHEREAS, METRO staff recommends a contract with Jerdon Enterprise, L.P. to provide construction services for intersection improvements at Boundary and Everett Streets for an amount not-to-exceed \$897,297.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a contract with Jerdon Enterprise, L.P. for the construction of Intersection Improvements at Boundary and Everett Streets for an amount not-to-exceed \$897,297.


Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER A CONTRACT EXTENSION WITH HDR ENGINEERING, INC. TO PROVIDE GENERAL PLANNING CONSULTING SERVICES TO METRO THROUGH MARCH 31, 2015; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is in need of continued planning and engineering consulting services in support of various METRO projects; and

WHEREAS, METRO has a contract with HDR Engineering, Inc. to provide planning and engineering consulting services; and

WHEREAS, METRO staff recommends a contract modification to extend the contract with HDR Engineering, Inc. through March 31, 2015.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a contract modification for general planning and engineering consulting services, extending the contract with HDR Engineering, Inc. through March 31, 2015.

Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary

The seal of the Metropolitan Transit Authority is circular. It features the words "METROPOLITAN TRANSIT" around the top inner edge and "AUTHORITY" around the bottom inner edge. In the center, the word "METRO." is written in a stylized font.

  
Gilbert Andrew Garcia, CFA  
Chairman



A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER A CONTRACT MODIFICATION WITH ATSER, LP TO PROVIDE QUALITY OVERSIGHT SERVICES FOR THE METRORAIL EXPANSION PROGRAM; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is in need of continued quality oversight services for its METRORail Expansion Program; and

WHEREAS, METRO has a contract with ATSER, LP for quality oversight services; and

WHEREAS, METRO staff recommends a modification of the contract with ATSER, LP for quality oversight services for an amount not to exceed \$1,240,000.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a contract modification with ATSER, LP for an amount not-to-exceed \$1,240,000 to provide quality oversight services for the METRORail Expansion Program.


Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER AN INTERLOCAL AGREEMENT WITH HARRIS COUNTY EXTENDING THE BAYTOWN PARK & RIDE SERVICE FOR TWELVE MONTHS; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, Section 451.056(2) of the Texas Transportation Code provides that METRO may contract with counties to provide transit services outside of METRO service area; and

WHEREAS, METRO contracts with Harris County to provide service to the Baytown Park & Ride which is located outside of the METRO service area; and

WHEREAS, METRO wishes to extend the contract with Harris County for the Baytown Park & Ride service for twelve months to allow continuous operation until the METRO Board of Directors considers a policy for transit service outside of METRO's service area.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver an interlocal agreement with Harris County extending the Baytown Park & Ride Service for twelve months.

Section 2. This Resolution is effective immediately upon passage.


PASSED this 20<sup>th</sup> day of November, 2014

APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
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Gilbert Andrew Garcia, CFA  
Chairman



A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO EXECUTE AND DELIVER A MAINTENANCE AND SUPPORT CONTRACT WITH XEROX TRANSPORT SOLUTIONS, INC. FOR AUTOMATED FARE COLLECTION SYSTEM SOFTWARE AND EQUIPMENT; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is in need of equipment, software, maintenance and support for its fare collection system; and

WHEREAS, METRO's fare collection system requires specialized proprietary knowledge; and

WHEREAS, Xerox Transport Solutions, Inc. is the only provider of the equipment and software used on METRO's fare collection system; and

WHEREAS, METRO staff recommends a contract with Xerox Transport Solutions, Inc. to provide equipment, software, maintenance and support for METRO's fare collection system for an amount not-to-exceed \$5,787,026.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to execute and deliver a maintenance and support contract with Xerox Transport Inc., for automated fare collection system software and equipment for an amount not-to-exceed \$5,787,026.

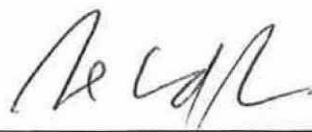
Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

AUTHORIZING THE PRESIDENT & CEO TO RENEW THE RAILROAD LIABILITY INSURANCE FROM VARIOUS LLOYDS OF LONDON INSURANCE SYNDICATE MARKETS; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO is obligated to purchase railroad liability insurance for METRO's overpass that crosses the Union Pacific Railroad line at Burnett Plaza; and

WHEREAS, METRO's broker of record has received quotes from various insurance carriers and recommends insurance coverage from various Lloyds of London Insurance Syndicate markets; and

WHEREAS, METRO staff recommends the purchase of railroad liability insurance from various Lloyds of London Insurance Syndicate markets for limits of \$25,000,000 for a premium price of \$446,391 per year.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby authorizes the President & CEO to purchase railroad liability insurance from various Lloyds of London Insurance Syndicate markets for limits of \$25,000,000 for a premium price of \$446,391 per year.


Section 2. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman

A RESOLUTION

DECLARING CERTAIN PROPERTIES AT 4210 FULTON AT CODY STREET, 202 ENGLISH AT FULTON STREET and 3906 FULTON AT BEGGS STREET AS SURPLUS; AUTHORIZING AND DIRECTING THE PRESIDENT & CEO TO NEGOTIATE THE SALE OR EXCHANGE OF SUCH PROPERTY AND TO EXECUTE AND DELIVER APPROPRIATE DOCUMENTS FOR SUCH SALE OR EXCHANGE; AND MAKING FINDINGS AND PROVISIONS RELATED TO THE SUBJECT

WHEREAS, METRO owns properties at 4210 Fulton at Cody Street, 202 English at Fulton Street, and 3906 Fulton at Beggs Street (the "Properties"); and

WHEREAS, METRO staff has determined that the Properties are not needed for current or future transit development; and METRO staff recommends that the Properties be declared as surplus and sold or exchanged pursuant to Chapter 272 of the Local Government Code.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE METROPOLITAN TRANSIT AUTHORITY THAT:

Section 1. The Board of Directors hereby declares the Properties at 4210 Fulton at Cody Street, 202 English at Fulton Street, and 3906 Fulton at Beggs Street as surplus and authorizes the President & CEO to sell or exchange the Properties in one or more transactions for consideration not less than their fair market value, in accordance with Chapter 272 of the Local Government Code.

Section 2. The President & CEO is hereby authorized and directed to take such administrative action as is necessary for the sale or exchange of the Properties to negotiate any such sale or exchange, and to execute and deliver all appropriate documents to effectuate any such sale or exchange.




Section 3. This Resolution is effective immediately upon passage.

PASSED this 20<sup>th</sup> day of November, 2014  
APPROVED this 20<sup>th</sup> day of November, 2014

ATTEST:

  
Assistant Secretary



  
Gilbert Andrew Garcia, CFA  
Chairman